Graduate School of Education: Doctor of Education in Educational Leadership

Final Dissertation Paper Rubric: 5--‐part dissertation: Developed by Dannelle D. Stevens, Doctoral Program Coordinator, March 31, 2014

Student Faculty Reviewer Date of Oral Defense

Score every dimension: Unsatisfactory = 1; Emerging = 2; Proficient = 3; Exemplary = 4. No partial scores. \*\* means dimension copied from Core Paper Rubric.

PART I:

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| **Dimension** | **Unsatisfactory** | **Emerging** | **Proficient** | **Exemplary** |
| **Prefatory materials:** Provides a title page, abstract, table of contents and a list of figures and tables | Abstract is not provided or is outside the range of 150-250 words; table of contents is omitted; lists of tables and figures are omitted or inaccurate. | Abstract provides minimal and sometimes confusing information about the research proposed and is outside 150-250 word range; inaccurate or incomplete table of contents; inaccurate or incomplete lists of  figures and tables. | Abstract adequately addresses the research proposed within 150-250 words; accurate table of contents; accurate list of tables and figures provided. | Abstract provides a crisp, complete 150- 250 word summary of the research proposed; the table of contents, and titles and subheadings are complete and accurate; lists of tables and figures (if  used) are complete and accurate. |

Chapter 1: Problem Statement

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|  | **Unsatisfactory** | **Emerging** | **Proficient** | **Exemplary** |
| Introduction: Introduces the topic and provides a brief rationale for selection of  topic | Topic is ill-defined; little or no rationale for selection of the problem | Topic is barely introduced; provides a rationale for selection but is not  persuasive. | Topic is introduced; provides a brief rationale for selection of the problem that  is somewhat persuasive. | Topic is concisely introduced; provides a brief and persuasive rational for selection  of the problem |
| **Background of the problem** | | | | |
| \*\*Identifies a problem of practice and  recognizes its boundaries. | Alludes to a situation where a problem  might exist. | Describes an educational situation and  alludes to a problem | Identifies the problem and alludes to its  boundaries | Clearly identifies the problem and its  boundaries |
| \*\*Describes the context of the problem of practice | Provides vague descriptions of context of  problem and does not situate it in larger context. | Vaguely situates the problem in its  historical, social, and/or cultural context(s) | Generally situates the problem in at least  one of its relevant historical, social, and/or cultural context(s) | Clearly situates the problem through an  analysis of its historical, social, and/or cultural context(s) |
| \*\*Validates problem exists | Provides no evidence that the problem  exists | Provides minimal evidence that the  problem exists | Generally describes the existence of the  problem | Draws upon multiple sources of  information to substantiate the existence of the problem |
| **Statement of the research problem** | | | | |
| States the purpose and rationale (argument) for the study, based on the argument made above | Statement of the research purpose and the overall reasons for the study are not given. | Statement of the research purpose and the overall reasons for the study are vague and marginally related to the background of the problem. | Statement of the research purpose and the overall reasons for the study are clear and related to the background of the problem. | Statement of the research purpose and the overall reasons for the study are compelling, apt and precise, and closely and clearly related to the background of  the problem. |
| **Significance of the research problem** | | | | |
| \*\*Analyzes educational significance of addressing this problem of practice | Unable to make a case that the problem has any educational significance or  ignores educational significance | Minimally describes the educational significance of the problem | Generally explains the educational significance of the problem | Clearly delineates the educational significance of the problem, including  issues of equity |
| **Presentation of methods and research question** | | | | |
| Introduces methods and presents research  questions. Makes a clear connection between research questions, purpose and problem | Fails to introduce methods. Includes no  researchable questions. Lacks connection between research questions, purpose and problem. | Introduces methods. Lists a few  researchable questions. Makes weak connection between research questions, purpose and problem. | Introduces methods briefly . Lists  researchable question(s). Makes a connection between research questions, purpose and problem. | Introduces methods briefly but clearly  describes methods. Lists researchable question(s). Makes a clear and compelling connection between research  questions, purpose and problem. |
| **Definitions of key concepts** | | | | |
| \*\*Defines key concepts and terms relevant to the problem of practice | No evidence that key terms are identified or defined. | Attempts to define the relevant concepts and terms | Defines key concepts and terms and  begins to explain their relevance to the problem | Clearly defines and explains the key  concepts and terms and their relevance to the problem |

Chapter 2: Literature Review

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|  | **Unsatisfactory** | **Emerging** | **Proficient** | **Exemplary** |
| Introduction to the literature review | Does not introduce the chapter. Starts with the review with no context setting | Reiterates topic and purpose of study or orients reader to literature review. | Reiterates topic and purpose of study and orients reader to literature review. | Clearly reiterates topic and purpose of  study and orients reader to literature review. |
| **Theoretical Framework: Describes and cites major sources for theoretical framework** | | | | |
| \*\*Identifies theoretical framework(s) relevant to the problem | Selects inappropriate theoretical framework and makes no connection to the problem | Identifies framework(s) with incomplete connection to the problem | Identifies relevant theoretical framework(s) | Clearly identifies relevant theoretical framework(s); provides a rationale. |
| \*\*Uses theoretical framework(s) to analyze the problem | Inaccurate definition and description of theoretical framework with no connection  to the problem. | Summarizes theoretical framework(s) without clear connection to the problem | Connects theoretical framework(s) to the problem and uses it to analyze the  problem | Insightfully connects theoretical framework(s) to the problem and uses  framework(s) to analyze the problem |
| \*\*Critiques the theoretical frameworks as they relate to the problem | Does not examine any assumptions of theoretical framework. | Begins to question assumptions of theoretical framework(s) in relationship to the problem | Generally questions assumptions of theoretical framework(s) without examining how these assumptions may  hinder understanding the problem. | Specifically questions the assumptions of the theoretical frameworks and how these assumptions may hinder understanding  the problem. |
| **Review of the research literature: Presents an up-to-date, research-based, systematic, thorough review of literature.** | | | | |
| Presents research literature relevant to problem; follows an organizing principle that is evident to reader; sections support one another to make persuasive argument that research is  appropriate. | Does not discuss criteria for inclusion and exclusion from review.  No organizing principle is mentioned. Poorly organized, haphazard. | Mentions inclusion and exclusion but does not elaborate.  Mentions organizing principle but does not elaborate.  Some coherent structure. | Discusses the literature included and excluded.  Presents organizing principle. Discussion is coherent but could be further developed to indicate relevance of articles to  addressing the problem. | Justifies inclusion and exclusion of articles. Presents high quality sources. Presents organizing principle and applies it to the literature discussed.  Well-developed, coherent discussion of  the literature and its relevance. |
| **Synthesis**: Synthesizes research literature findings; identifies larger themes, inconsistencies and/or relevant  patterns; themes evident in headings. | Does not distinguish what has been done from what needs to be done. | Some attempt to synthesize literature but incomplete with no mention of larger themes. | Discussed what has been done and what has not been done, but sparse discussion of larger themes. | Critically examines the state of the field, identifies larger themes.  Mentions inconsistencies and relevant patterns. |
| **Critique** of previous research; brings in  confirming and opposing viewpoints | No critique of previous research. | Identifies previous research with weak  connections to significance. | Practical significance is discussed with  mention of opposing views. | Critiques practical and scholarly  significance of previous research. |
| **Review of the methodological literature** | | | | |
| Reviews methodological literature  relevant to study; justifies selection of research methods based on review. | No critique of methodological literature. | Discusses methodological literature with incomplete connection to chosen method. | Discusses existing methodological  literature, makes connection to chosen method. | Critiques methodological literature and justifies selection of research methods. |
| **Summary of the research literature and application to the study** | | | | |
| Summarizes conclusions from literature reviews; includes transition to methods  chapter. | No summary and no connection to methods chapter. | Brief summary of literature. | Complete summary of literature, with tentative conclusions and brief transition  to methods chapter. | Excellent and thorough summary from literature review with robust transition to  methods chapter. |

Chapter 3: Methods: Selects, defines and describes appropriate research methods, including data collection procedures and data analysis

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|  | **Unsatisfactory** | **Emerging** | **Proficient** | **Exemplary** |
| **Introduction** | | | | |
| Re-introduce purpose of the study including research problem and question; transition to methods included | No re-introduction to purpose of the study including research problem and/or question; transition to methods not  included. | Re-introduces purpose of the study including research problem and/or question; transition to methods vague, if  included. | Re-introduces purpose of the study including research problem and question; transition to methods included. | Clearly and succinctly re-introduces purpose of the study including research problem and question; smooth transition  to methods included. |
| **Research Methods** | | | | |
| Type: Describes if research is qualitative or quantitative or mixed-methods. | No reference to type of method used. | Vague reference to type of research being conducted. Non-persuasive justification for the type of research used. | Describes if research is qualitative or quantitative or mixed methods and provides adequate justification for  selection of type in relation to research problem and research questions. | Describes if research is qualitative or quantitative or mixed methods and defines type. Provides clear justification  for selection of type in relation to research problem and research questions. |
| **Participants:** Identifies participants in the study and provides rationale for their selection; describes sampling methods. | Unable to identify exact participants nor any reason for their selection to participate in the study. | Vague identification of participants in the study and provides non-persuasive rationale for their selection; no sampling  methods included. | Identifies participants in the study and provides rationale for their selection; describes sampling methods. | Clearly identifies participants in the study and provides compelling rationale for their selection; describes sampling  methods concisely and clearly. |

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| **Procedures:** Describes the procedures used to conduct the study for sample recruitment, informed consent, maintaining data. Describes the steps taken during data collection and any interventions initiated (professional development activities). Provides rationale for any intervention. | Describes no procedures used to conduct the study for sample recruitment, informed consent, maintaining data.  Describes no details of the protocols and steps taken during data collection.  Describes no protocols for any interventions initiated (e.g., professional development activities). Provides no rationale for any intervention. Many questions remain about the procedures and protocols and the rationales for any actions. | Describes a few of the procedures used to conduct the study for sample recruitment, informed consent, maintaining data.  Describes only a few of the details of the protocols and steps taken during data collection. Describes vague protocols for any interventions initiated (e.g., professional development activities).  Provides weak, if any, rationale for any intervention. A few questions remain about the procedures and protocols. | Describes most of the procedures used to conduct the study for sample recruitment, informed consent, maintaining data.  Describes most of the details of the protocols and steps taken during data collection. Describes protocols for any interventions initiated (e.g., professional development activities). Provides rationale for any intervention. | Clearly describes the procedures used to conduct the study for sample recruitment, informed consent, maintaining data.  Describes the step-by-step details of the protocols and steps taken during data collection. Clearly describes protocols for any interventions initiated (e.g., professional development activities).  Provides compelling rationale for any intervention. |
| **Instruments and measures:** Describes the data collection instruments. Includes rationale for these instruments. Includes copies of actual instruments to be used. | Vague reference to the data collection instruments. Includes no rationale for the selection and format of these instruments in reference to other choices. Does not include copies of actual instruments to be used in the Appendix. | Lists the data collection instruments. Includes weak rationale for the selection and format of these instruments in reference to other choices. Does not include copies of actual instruments to be used in the Appendix. | Describes the data collection instruments. Includes rationale for the selection and format of these instruments in reference to other choices. Includes copies of actual instruments to be used in the Appendix. | Fully describes the data collection instruments. Includes persuasive rationale for the selection and format of these instruments in reference to other choices. Includes copies of actual instruments to be used in the Appendix. |
| **Role of the researcher (qualitative or mixed methods):** Identifies previous knowledge and any biases. Explains procedures used to suspend bias. | Does not identifies previous knowledge or any biases. No information on procedures used to suspend bias | Identifies previous knowledge or any biases. No information on procedures used to suspend bias. | Identifies previous knowledge and any biases. Explains procedures used to suspend bias. | Identifies previous knowledge and experience that can lead to biases. Provides persuasive explanation about procedures used to suspend bias. |
| **Data collection and analysis:** Describes data analysis procedures, including coding methods and statistical analysis, if appropriate. Tie these closely to research questions. | Vaguely describes data analysis procedures. Does not tie procedures closely to research questions. | Describes data analysis procedures, including coding methods and statistical analysis, if appropriate. Tie procedures to research questions. | Describes data analysis procedures, including detailed coding methods and statistical analysis, if appropriate. Tie these procedures closely to research questions. | Clearly describes steps of data analysis procedures, including details of coding methods and statistical analysis, if appropriate. Tie these closely to research questions. |

Chapter 4: Results/Analysis: Analysis of results, aligned with research question and purpose, includes limitations

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|  | **Unsatisfactory** | **Emerging** | **Proficient** | **Exemplary** |
| **Introduction:** Re-introduce purpose of  the study including research problem and question; transition to methods included | No re-introduction to purpose of the study  including research problem and/or question; transition to results not  included. | Re-introduces purpose of the study  including research problem and/or question; transition to results vague, if  included. | Re-introduces purpose of the study  including research problem and question; transition to results included. | Clearly and succinctly re-introduces  purpose of the study including research problem and question; smooth transition  to results included. |
| **Analysis of data** | Inappropriate analysis of data, not connected to research question and  purpose | Appropriate analysis of most of the data, vaguely connected to research question  and purpose | Appropriate analysis of data, connected to research question and purpose. | Appropriate and thoughtful analysis of data, clearly connected to research  question and purpose. |
| **Presentation of results** | Inaccessible and confusing presentation of results; very limited variety of charts, table or data displays included. | Somewhat accessible and understandable presentation of results; limited variety of charts, table or data displays included. | Accessible and understandable presentation of results; variety of charts, table or data displays included. | Easily accessible and clearly understandable presentation of results; variety of charts, table or data displays  included. |
| **Interpretation of findings** | Findings not interpreted correctly and are  not supported by evidence nor linked to research questions. | Findings interpreted and sometimes  supported by evidence and vaguely linked to research questions. | Findings interpreted correctly and  supported by evidence and address research questions. | Findings interpreted correctly and directly  supported by evidence and clearly address research questions. |
| **Limitations of study** | Does not identify limitations of the study. | Acknowledges a few limitations of the  study. | Identifies limitations of the study. | Identifies limitations of the study and  analysis of data. |

Chapter 5: Discussion/Conclusion: Findings synthesized, situated in larger context, includes implications for action

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|  | **Unsatisfactory** | **Emerging** | **Proficient** | **Exemplary** |
| I**ntroduction:** Re-introduce purpose of the study including research problem and question; transition to methods included | No re-introduction to purpose of the study including research problem and/or question; transition to conclusion not  included. | Re-introduces purpose of the study including research problem and/or question; transition to conclusion vague,  if included. | Re-introduces purpose of the study including research problem and question; transition to conclusion included. | Clearly and succinctly re-introduces purpose of the study including research problem and question; smooth transition  to conclusion included. |

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| **Synthesis of findings** | Limited or no discussion of major findings/outcomes..Conclusions/summaries are inappropriate and not linked to  findings/outcomes. | Discussion of major findings/outcomes. Conclusions/summaries are sometimes appropriate but not clearly linked to  findings/outcomes. | Discussion of major findings/outcomes. Conclusions/summaries are mostly appropriate and linked to  findings/outcomes. | Brief and concise discussion of major findings/outcomes.  Conclusions/summaries are appropriate  and linked to findings/outcomes. |
| **Situated in larger context** | Presentation is not accurate nor engaging. | Presentation is accurate but not engaging. | Presentation is accurate, and engaging and situated in larger context.. Findings related to research literature or theoretical  frame | Presentation is accurate, engaging and thought provoking and situated in larger context. Findings related to research  literature and theoretical frame. |
| **Implications** | Recommendations are not included. No attention paid to implications. | Recommendations are sometimes appropriate but not clearly linked to findings/outcomes. | Recommendations are appropriate and linked to findings/outcomes.  Implications for policy/practice included. | Recommendations are insightful, appropriate and linked to findings/outcomes. Implications for  policy/practice included. Implications linked to the data. |

PART II: Overall paper: Mechanics

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|  | **Unsatisfactory** | **Emerging** | **Proficient** | **Exemplary** |
| \*\*Coherent and convincing argument with substantive support for claims | Unable to discern the argument for the study | Begins to make a coherent argument and build support for claims | Makes a coherent and convincing argument and builds support for claims with evidence | Makes a coherent and convincing argument by consistently supporting claims with credible, relevant, and  substantive evidence |
| \*\*Organization | Attempts to use organizational structures  but inconsistent use of headings, transitions between chapters leads to disorganized paper. Difficult for reader to follow. | Begins to use organizational structures  (introduction, headings for each core area with clear transitions, sequenced material within the body, and conclusion) within the paper | Consistently uses organizational structures  (introduction, headings for each core area with clear transitions, sequenced material within the body, and conclusion) within the paper | Skillfully uses organizational structures  (introduction, headings for each core area with clear transitions, sequenced material within the body, and conclusion) within the paper |
| \*\*Mechanics | Makes frequent errors in sentence  structure, grammar, punctuation and/or spelling that interferes with comprehension. Writes in the past tense. | Makes errors in sentence structure,  grammar, punctuation, and/or spelling that impede understanding. Writes in the past tense. | Makes minor errors in sentence structure,  grammar, punctuation, and/or spelling that do not impede understanding. Writes in the past tense. | Demonstrates detailed attention to  mechanics including sentence structure, grammar, punctuation, and spelling.  Writes in the past tense. |
| \*\*Citations | Does not use APA style and lack of  citations interferes with comprehension. | Inconsistently uses APA style in text  citations and references | Generally uses correct APA style in text  citations and references | Consistently uses correct APA style in text  citations and references |
| \*\*Bias in language usage | Does not use anti-bias language. | Inconsistently uses APA style conventions  to reduce bias in language | Generally adheres to APA style  conventions to reduce bias in language | Consistently adheres to APA style  conventions to reduce bias in language |

Appendices

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|  | **Unsatisfactory** | **Emerging** | **Proficient** | **Exemplary** |
| Appendices. Includes supplemental material including copies of instruments as used in the study. | No Appendices when it is appropriate that they included. | Appendices include undeveloped or draft form of instruments used in study. Some errors in APA formatting. | Appendices include instruments used in the study with appropriate headings and centered on the page title. Uses APA  formatting correctly | Appendices include instruments as used in the study with appropriate headings on the page title. Uses APA formatting correctly. |

PART III: Oral presentation of the Final Dissertation Paper

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|  | **Unsatisfactory** | **Emerging** | | **Proficient** | **Exemplary** |
| Presentation of research topic, literature, methods, results and  conclusions in a clear, persuasive and organized manner. | Candidate unprepared to present the study to others. Presentation confusing and poorly delivered. | Presentation | ncludes most significant | Presentation included most significant elements, conveyed in a logical, easy-to- follow format. Responded to questions. | Presentation included all significant elements, conveyed clearly in a logical,  persuasive and easy-to-follow format. Responded to questions professionally. |
| elements, conveyed in a easy-to-follow  format. Responded to most questions. | |

Pass Not Yet Satisfactory\* No Pass

\*Recommendations for additional work: